



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Robert L. Fair et al.)	
Serial No.: 10/705,470)	Examiner: Not yet assigned
Filed: November 10, 2003)	
)	Art Unit: 2184
For: METHOD FOR DETECTING)	
LEAKED BUFFER WRITES)	
ACROSS WAFL CONSISTENCY	j	
POINTS	j	
	•	Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		June 24, 2005

CERTIFICATE OF MAILING

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 24, 2005.

Melissa L. Altman

X Information Disclosure Statement
X Return Receipt Postcard

X_PTO-1449 and Copies of References



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Robert L. Fair et al.)	
Serial No.: 10/705,470)	Examiner: Not yet assigned
Filed: November 10, 2003)	Art Unit: 2184
For: METHOD FOR DETECTING LEAKED BUFFER WRITES ACROSS WAFL CONSISTENCY POINTS)))	
		Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 June 24, 2005

CERTIFICATE OF MAILING

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 24, 2005.

Melissa L. Altman

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

PATENTS 112056-0123 P01-1572

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

Sheet

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 5

Complete if Known **Application Number** 10/705,470 November 10, 2003 Filing Date First Named Inventor Robert L. Fair et al. **Group Art Unit** 2184 **Examiner Name** Not yet assigned 112056-0123 Attorney Docket Number

				U.S. PATENT DOCUMEN	TS	
		U.S. Patent	Document	Name of Patentee or Applicant	Date of	Pages, Columns, Lines, Where Relevan
Examiner Initials *	Cite No.1	I Number	nd Code ² known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	1	Re. 34,100		Hartness	10-13-1992	
	2	4,156,907		Rawlings, et al.	05-29-1979	
	3	4,399,503		Hawley	08-16-1983	
	4	4,598,357		Swenson et al.	07-01-1986	
	. 5	4,688,221		Nakamura et al.	08-18-1987	
	6	4,698,808		Ishii	10-06-1987	
	7	4,761,785	Bl	Clark et al.	08-02-1988	
	8	4,805,090		Coogan	02-14-1989	
	9	4,837,675		Bean et al.	06-06-1989	
	10	4,864,497		Lowry et al.	09-05-1989	
	11	4,896,259		Jacobs et al.	01-23-1990	
	12	4,899,342		Potter et al.	02-06-1990	
	13	4,989,206		Dunphy, Jr. et al.	01-29-1991	
	14	5,124,987	1	Milligan et al.	06-23-1992	
	15	5,155,835		Belsan	10-13-1992	
	16	5,426,747		Weinreb et al.	06-20-1995	***
	17	5,581,724		Belsan et al.	12-03-1996	
	18	6,636,879	BI	Doucette et al.	10-21-2003	
	1	1				* ***

				FOREIGN	PATENT DOCU	MENTS		
Examine		Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
	19	wo	89/10594		Krakauer, Arno	11-02-1989		
		ļ						
				_				
					-			
-								
			 					
Examin Signatu	- 1				Date Consid	ered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substit	ute for form 1449A/PTC)			Complete if Known
				Application Number	10/705,470
INF	ORMATION	DIS	CLOSURE	Filing Date	November 10, 2003
STA	ATEMENT B	Y A	PPLICANT	First Named Inventor	Robert L. Fair et al.
				Group Art Unit	2184
	(use as many she	ets as	necessary)	Examiner Name	Not yet assigned
Sheet	2	of	5	Attorney Docket Number	112056-0123

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials_*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²						
	20	Administration Guide found at http://www.openafs.org/pages/doc/AdminGuide/auagd010.htm , visited on 3/2/2005							
	21	BASILICO, ET AL., Error Correction System Using "Shadow Memory," IBM Technical Disclosure Bulletin, May 1984, pp. 5792-5793							
	22	BITTON, DINA, Disk Shadowing, Proceedings of the 14th VLDB Conference, LA, CA (1988)							
	23	BLASGEN, M.W. ET AL., System R:An architectural Overview, Reprinted from IBM Systems Journal Vol. 20, no. 1, 1981@1981, 1999							
***	24	BORENSTEIN, NATHANIEL S., CMU's Andrew project a retrospective, Communications of ACM, (39)12, December 1996							
	25	BROWN, MARK R. ET AL., <i>The Alpine file system</i> , ACM Transactions on Computing Systems, 3(4):261-293, November 1985							
	26	CHEN, PETER M., ET AL., An Evaluation of Redundant Arrays of Disks Using an Amdahl 5890 Performance Evaluation, pp. 74-85, 1990							
	27	CHUTANI, SAILESH, ET AL., The Episode file system, In Proceedings of the USENIX Winter 1992							
	28	CLARK, B.E., ET AL., Application System /400 Performance Characteristics, IBM Systems Journal, 28(3): 407-423, 1989							
	29	Data Sheet for the Check Point Software Technologies product Flood-Gate-1 (1997)							
	30	DIBBLE, PETER C., ET Al., Beyond Striping: The Bridge Multiprocessor File System, Computer Science Department, University of Rochester, Aug. 11, 1989							
	31	DOUGLIS, FRED, ET AL., A comparison of two distributed systems: Amoeba and Sprite - Computing Systems, 4(4), Fall 1991, pp. 353-385 ?{copy of article I have has no date or cite}							
	32	GAIT, JASON, Phoenix: A Safe In-Memory File System. Communications of the ACM, 33(1):8186, January 1990							
	33	HARTMAN, JOHN H. ET AL., Performance Measurements of a Multiprocessor Sprite Kernel, Proceedings of the USENIX Conference, 1990							
	34	HITZ, DAVE ET AL., File System Design for an NFS File Server Appliance, Technical Report 3002, Rev. C395, presented Jan. 19, 1994							
Examiner Signature		Date Considered							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/PTO)			Complete if Known	
				Application Number	10/705,470	
INFC	RMATION	DIS	CLOSURE	Filing Date	November 10, 2003	
STA	TEMENT BY	YA	PPLICANT	First Named Inventor	Robert L. Fair et al.	
				Group Art Unit	2184	
	(use as many she	ets as	necessary)	Examiner Name	Not yet assigned	
Sheet	3	of	5	Attorney Docket Number	112056-0123	

		OTHER PRIOR ART NON PATEN	T LITERATUR	E DOCUMENTS					
Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. HOWARD, JOHN H, ET AL. Scale and Performance in a Distributed File System, Camegie Mellon								
	35	HOWARD, JOHN H, ET AL. Scale and Performan University, CMU-ITC-87-068, Aug. 5, 1987	nce in a Distribute	d File System, Camegie Mellon					
	36	HOWARD, JOHN, H. ET AL., Scale and performa System., 6(1), Feb. 1988 pp. 51-81	nce in a distribute	d file system, ACM Trans. Computer.	-				
	37	HOWARD, JOHN H., An Overview of the Andrew 062	File System, Carno	egie Mellon University, CMU-ITC-88-					
	38	The IBM System/38, Chapter 8, pp. 137-15							
	39	ISOMAKI, MARKUS, Differentiated Service for the Mathematics, May 9, 1998	he Internet, Depart	ment of Technical Physics and					
	40	KAZAR, MICHAEL L., ET AL., Decorum File System Architectural Overview, USENIX Summer Conference, Anaheim, California, 1990							
LOMET, DAVID., ET AL., The performance of a multiversion access method, ACM SIGMOD International Conference on Management of Data, 19:353-363									
	42	LORIE, RAYMOND, A, Physical integrity in a lat (2)1: 91-104, March 1977	rge segmented dat	abase, ACM Trans. Database Systems,					
	43	LORIE, RA, Shadow Page Mechanism, IBM Techn	nical Disclosure B	alletin, June 1986, pp. 340-342	•				
	44		MCKUSICK, MARSHALL KIRK, ET AL., A Fast File System for UNIX, Computer Science Division, Department of Electrical Engineering and Computer Sciences, Univ. of CA, Berkley, Feb. 18, 1994						
	45	MILLER, ETHAN L., ET AL., RAMA: A File System for Massively Parallel Computers, 12th IEEE Symposium on Mass Storage Systems, Monterey CA, April 1993, pp. 163-168							
	46	MOONS, HERMAN ET AL., Location-Independent Autumn 1991 EurOpen Technical Conference and E	MOONS, HERMAN ET AL., Location-Independent Object Invocation in Open Distributed Systems, Autumn 1991 EurOpen Technical Conference and Exhibition, pp. 287-300 (September 16-20, 1991)						
	47	MORRIS, JAMES H., Et Al, Andrew: A Distributed ACM, vol. 29, March 1986, pp 184-201	d Personal Compu	ting Environment, Comm. of the					
	48	MULLENDER, SAPE J., ET AL., A distributed fit. Symposium on Operating System Principles (Orcas Review, 19(5):51-62, December 1985	le service based or Island, Washingto	n optimistic concurrency control, ACM on). Published as Operating Systems					
	49	MULLER, KEITH, ET AL., A High Performance Method 13th ACM Symposium on Operating Systems Programme Method 11th ACM Symposium Operating Systems Program							
Examine Signature			Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/PTO				Complete if Known
				Application Number	10/705,470
INFO	RMATION	DIS	CLOSURE	Filing Date	November 10, 2003
STAT	TEMENT BY	/ Al	PPLICANT	First Named Inventor	Robert L. Fair et al.
				Group Art Unit	2184
	(use as many shee	ets as	necessary)	Examiner Name	Not yet assigned
Sheet	4	of	5	Attorney Docket Number	112056-0123

		OTHER PRIOR ART NON PATER	IT LITERATUR	E DOCUMENTS					
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of								
	46	MOONS, HERMAN ET AL., Location-Independent Autumn 1991 EurOpen Technical Conference and							
	47	MORRIS, JAMES H., Et Al, Andrew: A Distribut ACM, vol. 29, March 1986, pp 184-201	ed Personal Compi	uting Environment, Comm. of the					
	48	MULLENDER, SAPE J., ET AL., A distributed Symposium on Operating System Principles (Orca Review, 19(5):5162, December 1985							
	49	MULLER, KEITH, ET AL., A High Performance the 13th ACM Symposium on Operating Systems							
	50	OUSTERHOUT, JOHN K. ET AL., The Sprite No Department of Electrical Engineering and Comput							
	51	OUSTERHOUT, JOHN ET AL., Beating the I/O Technical Report, Computer Science Division, Ele California at Berkeley, October 30, 1988							
-	52	OUSTERHOUT, JOHN, Why Aren't Operating Sy Technical Note TN-11, October 1989	ystems Getting Fasi	er as Fast as Hardware?, Digital WRL					
	53	OUSTERHOUT, JOHN, A Brief Retrospective Or http://www.cs.berkeley.edu/projects/sprite/retrospe							
	54	PATTERSON, D., ET AL., A Case for Redundani CSD-87-391, Computer Science Division, Electric California at Berkeley (1987)	al Engineering and	Computer Sciences, University of	-				
	55		PATTERSON, D., ET AL., A Case for Redundant Arrays of Inexpensive Disks (RAID), SIGMOD International Conference on Management of Data, Chicago, IL, USA, 1-3 June 1988, SIGMOD RECORD						
	56	PETERSON, ZACHARY NATHANIEL JOSEPH Contiguity, University of CA, Santa Cruz, Master							
	57	QUINLAN, SEAN, A Cached WORM File System (1991)	QUINLAN, SEAN, A Cached WORM File System, Software-Practice and Experience, 21(12):1289-1299 (1991)						
	58	Redundant Array of Independent Disks, from Wik http://en.wikipedia.org/wiki/RAID, visited on 3/9/.		yclopedia, found at					
	59	ROSENBERG, J., ET AL., Stability in a Persistent Store Based on a Large Virtual Memory, In Security and Persistence, Rosenber, J. & Keedy, J.L. (ed), Springer-Verlag (1990) pp 229-245							
	60	ROSENBLUM, MENDEL, ET AL., The LFS Stor Electrical Engin. And Computer Sciences, Univ. o Conference, Anaheim, CA June 1990							
Examine: Signature			Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/PTO				Complete if Known	
				Application Number	Number 10/705,470 November 10, 2003 d Inventor Robert L. Fair et al. Jnit 2184 lame Not yet assigned	
INFO	PRMATION	DIS	CLOSURE	Filing Date	November 10, 2003	
STA	TEMENT BY	/ Al	PPLICANT	First Named Inventor	Robert L. Fair et al.	
				Group Art Unit	2184	
	(use as many shee	ets as	necessary)	Examiner Name	Not yet assigned	
Sheet	5	of	5	Attorney Docket Number	112056-0123	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	61	ROSENBLUM, MENDEL, ET AL, The Design and Implementation of a Log-Structured File System July 24, 1991 pp1-15.	
_	62	ROSENBLUM, MENDEL, ET AL., The Design and Implementation of a Log-Structured File System, , In Proceedings of ACM Transactions on Computer Systems, (10)1:26-52, February 1992	
	63	SANDBERG, RUSSEL ET AL., Design and implementation of the Sun Network Filesystem. In Proc. Summer 1985 USENIX Conf., pages 119-130, Portland OR (USA), June 1985	
	64	SANTRY, DOUGLAS S., ET AL., Deciding When to Forget in the Elephant File System, Operating Systems Review, 34(5), (Dec. 1999) pp.110-123	
	65	SATYANARAYANAN, M., ET AL., The ITC Distributed File System: Principles and Design, In Proceedings of the 10th ACM Symposium on Operating Systems Principles, (19)5:56-67, December 1985	
,	66	SATYANARAYANAN, M A survey of distributed file-systems. Annual Review of Computing Science, 4(73104), 1989	
	67	SATYANARAYANAN, M., ET AL, Coda: A highly available file system for a distributed workstation environment Carnegie Mellon University, CMU-ITC	
- " ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	68	SATYANARAYANAN, M., ET AL, Coda: A highly available file system for a distributed workstation environment. IEEE Transactions on Computers, 39(4):447-459, 1990	
	69	SATYANARAYANAN, MAHADEV, Scalable, Secure, and Highly Available Distributed File Access, Computer May 1990: 9-21	
	70	SIDEBOTHAM, BOB, Volumes: The Andrew File System Data Structuring Primitive, EEUG Conference Proceedings, Manchester, UK, Autumn 1986	
	71	User Guide found at http://www.openafs.org/pages/doc/UserGuide/auusg004.htm , visited on 3/2/2005	
	72	WELCH, BRENT B., ET AL., Pseudo Devices: User-Level Extensions to the Sprite File System, Computer Science Division, Department of Electrical Engineering and Computer Sciences, Univ. of CA, Berkley, April 1988	
	73	WELCH, BRENT B., ET AL., <i>Pseudo-File-Systems</i> , Computer Science Division, Department of Electrical Engineering and Computer Sciences, Univ. of CA, Berkley, Oct. 1989	
	74	WITTLE, MARK, ET AL, LADDIS: The next generation in NFS file server benchmarking, USENIX Association Conference Proceedings, April 1993.	

Examiner	Date	
Cianatura		
Signature	Considered	j j

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.